FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

Vashington,	D.C.	20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL							
OMB Number: 3235-028							
Estimated average burden							
hours por rosponso:	0.5						

				or Section	30(h) o	f the Í	nvest	ment Compar	ny Act o	f 1940					
1. Name and Address of Reporting Person*  Buchanan Lucas W.				2. Issuer Name and Ticker or Trading Symbol Silk Road Medical Inc [ SILK ]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner						
			-							X	Officer (	give title	Other (s		
(Last)	,	=irst) MEDICAL, INC.	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 07/15/2020					Λ	below) below)  Chief Financial Officer				
1213 INNSBRUCK DRIVE															
			4. If Amend	4. If Amendment, Date of Original Filed (Month/Day/Year)					6. Individual or Joint/Group Filing (Check Applicable						
(Street) SUNNY	VALE (	CA	94089							X Form filed by One Reporting Person Form filed by More than One Reporting					
(City)	(:	State)	(Zip)	-							Person				
		Tab	le I - Non-Deri	vative Secu	urities	Acc	quire	d, Dispos	ed of	, or Benefic	ially	Owned			
1. Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Da if any (Month/Day/)	ate, T	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported		6. Ownershi Form: Direc (D) or Indire (I) (Instr. 4)	Indirect I	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
					c	Code	v	Amount	(A) or (D)	Price	Trans	saction(s) r. 3 and 4)			
Common Stock 0		07/15/2020		1	<b>M</b> <sup>(1)</sup>		9,600	A	\$1.6	12	20,627(2)	D			
Common Stock		07/15/2020	:		<b>S</b> <sup>(1)</sup>		9,600 D \$45.9937 <sup>(3)</sup> 111,		111,027	D					
Common Stock										13,518 I		Buchanan Grandchildren's Irrevocable Trust <sup>(4)</sup>			
		٦	able II - Deriva (e.g., ۱							or Beneficia le securitie		wned		,	,
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		Execution Date, if any	Transaction Code (Instr. 8)	(Instr. Derivative Securities Acquired (Month/Day/Year) Securities Underlying Derivative Se		Amount of Securities	S (I	erivative ecurity nstr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			

## **Explanation of Responses:**

\$1.6

1. The option exercise and sale reported on this Form 4 were effected pursuant to Rule 10b5-1 Trading Plan adopted by the reporting person on September 5, 2019.

(A) (D)

9 600

2. Includes a total of 71 shares received pursuant to a pro rata distribution. The acquisition of such shares was exempt pursuant to Rule 16a-9.

Code

 $M^{(1)}$ 

3. This transaction was executed in multiple trades at price ranging from \$44.94 to \$46.78. The price reported above reflects the weighted average sale price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

Date Exercisable

09/04/2016<sup>(5)</sup>

Expiration Date

08/04/2026

Title

Common

Stock

- 4. These shares are held directly by the Buchanan Grandchildren's Irrevocable Trust, for which the Reporting Person serves as a co-trustee
- 5. One forty-eighth of the shares subject to the option shall vest on September 4, 2016 and each month thereafter, subject to the Reporting Person continuing as a service provider through each such date.

## Remarks:

Option

(right to buy)

/s/Mhairi Jones, by power of <u>attorney</u> \*\* Signature of Reporting Person

or Numbei

of Shares

9,600

\$0

07/16/2020

2 321

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

07/15/2020

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.