## SEC Form 4

П

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

to

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMB Number: 3235-0287 Estimated average burder hours per response: 0.5

1. Name and Addre	1 0		2. Issuer Name <b>and</b> Ticker or Trading Symbol Silk Road Medical Inc [SILK]		tionship of Reporting Po all applicable) Director	erson(s) to Issuer 10% Owner	
1	C/O SILK ROAD MEDICAL, INC.		3. Date of Earliest Transaction (Month/Day/Year) 04/04/2019		Officer (give title below)	Other (specify below)	
1213 INNSBRUCK DRIVE			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Group Filing (Check Applicable		
(Street)				X	Form filed by One Re	eporting Person	
SUNNYVALE	CA	94089			Form filed by More th Person	an One Reporting	
(Citv)	(State)	(Zip)					

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		ransaction Disposed Of (D) (Instr. 3, 4 and ode (Instr. 5)			Owned Following		7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	04/04/2019		М		70,370	A	\$1.6	70,370	D	
Common Stock	04/04/2019		М		174	Α	\$8.27	70,544	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exerc Expiration Da (Month/Day/N	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Director Stock Option (Right to buy)	\$1.6	04/04/2019		М			70,370	(1)	08/04/2026	Common Stock	70,370	\$0	35,185	D	
Director Stock Option (Right to buy)	\$8.27	04/04/2019		М			174	(2)	09/30/2026	Common Stock	174	\$0	0	D	

Explanation of Responses:

1. The option, originally granted for 105,555 shares, of which 70,370 have been exercised, vests as to one forty-eighth of the shares on September 4, 2016 and each month thereafter, subject to the Reporting Person continuing as a service provider through each such date.

2. All of the shares subject to this option are fully vested and exercisable as of the date hereof.

Remarks:

<u>/s/ Mhairi Jones, by power of</u>

attorney

04/08/2019

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.