FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287 Estimated average burden 0.5

hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* | | | | | | 2. Issuer Name and Ticker or Trading Symbol Silk Road Medical Inc [SILK] | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
|--|-------------------------|---------------------|--------|-----------------------|------------------------------------|--|--------------|--------|-----------------------------------|-------------|-------------------------------|---------------|---------------------------------------|---|----------------------|----------------------|------------------------------------|--|--|
| Buchanan Lucas W. | | | | | | | | | | | | | | Director | | | 10 | % Owner | |
| | | | | | | | | | | | | | | | | e title | | ner (specify | |
| (Last) (First) (Middle) | | | | | 3. 0 | 3. Date of Earliest Transaction (Month/Day/Year) | | | | | | | | A below | v) | | be | ow) | |
| | | | | | | 11/20/2019 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | Chief Financial Of | | | | er | |
| C/O SILK ROAD MEDICAL, INC. | | | | | | | | | | | | | | | | | | | |
| 1213 INNSBRUCK DRIVE | | | | | | | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable | | | | | |
| | | | | | - 4. " | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | Line) | | | | | |
| (Street) | | | | | | | | | | | | | | X Form filed by One Reporting Person | | | | | |
| SUNNYVALE CA 94089 | | | | | | | | | | | | | Form filed by More than One Reporting | | | | | | |
| | | | | | - | | | | | | | | | Person | | | | | |
| (City) (State) (Zip) | | | | | | | | | | | | | | | | | | | |
| (p) | | | | | | | | | | | | | | | | | | | |
| | | Tab | le I - | Non-Deri | vative | Sec | urities | s Ac | qui | red, D | isposed (| of, or | Benefici | ally Owne | d | | | | |
| 1. Title of Security (Instr. 3) 2. Transaction | | | | | | | | | 3. | | 4. Securities Acquired (A) or | | | 5. Amount of | | 6. Owners | | 7. Nature of | |
| Date (Month/D | | | | Date (Month/Day/ | | rear) Execution Date, if any (Month/Day/Year) | | | Transaction Code (Instr. 8) | | | | r. 3, 4 and | Securities Beneficially | | Form: Dire | | Indirect Beneficial Ownership (Instr. | |
| | | | | (| | | | | | | | | | Owned Following | | (I) (Instr. 4) | | | |
| | | | | | | | | F | | | | (A) or | | Reported Transaction(s) | | | | | |
| | | | | | | | | ľ | Code | \ \ \ \ \ \ | Amount | (D) | Price | (Instr. 3 and 4 | | | | | |
| Common Stock 11/20/201 | | | | | 10 | | | | A ⁽¹⁾ | | 1,250 | A | \$17 | 81,782(2 | 2) | D | | | |
| Common Stock 11/20/201 | | | | 13 | <u>'</u> | | | A | | 1,230 | А | Ψ1/ | 01,/02(-) | | В | | | | |
| | | | | | | | | | | 1 1 | | | | | | | Bı | ıchanan | |
| | | | | | | | | | 1 1 | | | | | | | | andchildren's | | |
| Common Stock | | | | | | | | | | 1 1 | | | | 13,518 | | I | 1 - | evocable | |
| | | | | | | | | . / | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | 11 | ust ⁽³⁾ | |
| | | T: | abla i | II - Deriva | tivo S | Cocur | itios / | / cai | uiro | d Die | nosed of | or Bo | noficial | v Owned | | | | | |
| | | 10 | abie i | | | | | | | | convertil | | | | | | | | |
| 1. Title of | 4. | | 5. Nun | nber | 6. Date Ex | | rcisable and | 7. Tit | le and | 8. Price of | 9. Number of | | 10. | 11. Nature | | | | | |
| Derivative | 2. Conversion | 3. Transaction Date | Exec | eemed ution Date, | Transa | saction of Derivativ | | | Expiration | | Date | Amoi | unt of | Derivative | deri | vative | Owners | hip of Indirect | |
| Security (Instr. 3) | or Exercise Price of | (Month/Day/Year) | if any | / th/Day/Year) | Code 8) | | | | (M | onth/Day | /Year) | Secu | rities rlying | Security (Instr. 5) | | urities eficially | Form: Direct (D) or Indirect | Beneficial Ownership | |
| (111311. 3) | Derivative | | (| uii/Dayi icai j | 0, | | Acquired | | | | | Deriv | | | Owr | ned | | ect (Instr. 4) | |
| | Security | | | | | | (A) or | | | | | | rity (Instr. 3 | | | owing | (I) (Instr. 4) | 4) | |
| | | | | | Disposed of (D) (Instr. 3, 4 | | | | | | and 4 | !) | | | orted nsaction(s) | | | | |
| | | | | | | | | | | | 1 | | | | tr. 4) | | | | |
| | | | | | and 5) | | | | | | | | _ | | | | | | |
| | | | | | | | | | 1 | | | 1 | Amount | | | | | - [| |
| | l | | | | | | | | 1 | | | 1 | or Number | 1 | | | | - [| |
| | | | | | | | | | Date | | Expiration | | of | | | | | - [| |
| | I | I | I | | Code | Ιv | l(A) | (D) | Ex | ercisable | Date | Title | Shares | 1 | 1 | | I | 1 | |

Explanation of Responses:

- 1. These shares were acquired under the Issuer's Employee Stock Purchase Plan in a transaction exempt under Rule 16b-3(c).
- 2. Includes a total of 1,270 shares received pursuant to a pro rata distribution. The acquisition of such shares was exempt pursuant to Rule 16a-9.
- 3. These shares are held directly by the Buchanan Grandchildren's Irrevocable Trust, for which the Reporting Person serves as a co-trustee.

Remarks:

/s/Mhairi Jones, by power of

11/22/2019

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.