SEC	Form	4
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

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	hours per response:	0.5
	Estimated average burden	

1	1 0	on*	2. Issuer Name and Ticker or Trading Symbol Silk Road Medical Inc [SILK]		tionship of Reporting Pers all applicable)	son(s) to Issuer
<u>Rogers Erica J.</u>				X	Director	10% Owner
				х	Officer (give title	Other (specify
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)		below)	below)
C/O SILK ROAD MEDICAL, INC.		C.	11/11/2019		President and	CEO
1213 INNSBRUCK DRIVE						
			4. If Amendment, Date of Original Filed (Month/Day/Vear)	6 Indis	vidual or Joint/Group Filing	(Check Applicable
(Street)	Silk Road Medical Inc [SILK] (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 11/11/2019 4. If Amendment, Date of Original Filed (Month/Day/Year)		Line)		G (Check Applicable	
SUNNYVALE	CA	94089		X	Form filed by One Rep	orting Person
JOINT WILL	CII	54005			Form filed by More that	n One Reporting
					Person	
(City)	(State)	(Zip)				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		Disposed Of (D) (Instr. 3, 4 and			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		-)
Common Stock	11/11/2019		M ⁽¹⁾		20,044	A	\$1.38	116,340	D	
Common Stock	11/11/2019		S ⁽¹⁾		20,044	D	\$35	96,296	D	
Common Stock								83,843	I	Trustees of The Surace/Rogers Family Trust ⁽²⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			(5-)	P	,	-,		-, -,-,			, ,				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of I		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option (right to buy)	\$1.38	11/11/2019		M ⁽¹⁾			20,044	11/23/2012 ⁽³⁾	12/14/2022	Common Stock	20,044	\$0	184,066	D	

Explanation of Responses:

1. The option exercise and sale reported on this Form 4 were effected pursuant to Rule 10b5-1 Trading Plan adopted by the reporting person on September 5, 2019.

2. These shares are held directly by Kevin J. Surace and Erica J. Rogers, as Trustees of The Surace Rogers Family Trust.

3. All of the shares subject to this option are fully vested and exercisable as of the date hereof.

Remarks:

/s/Mhairi Jones, by power of

11/13/2019

Date

** Signature of Reporting Person

<u>attorney</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.