FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### OMB APPROVAL OMB Number: STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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# Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

					or Section	1)08 110	i) or the	investr	nent Co	ompany A	Ct Of	1940						
1. Name and Address of Reporting Person*  Buchanan Lucas W.						2. Issuer Name <b>and</b> Ticker or Trading Symbol Silk Road Medical Inc [SILK]								Relationship of Reporting Person(s) to Issuer (Check all applicable)     Director				
	(F K ROAD M NSBRUCK	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 12/29/2020									X Officer (give title Other (specify below)  COO/CFO					
(Street) SUNNYVALE CA 94089				_	4. If Amendment, Date of Original Filed (Month/Day/Year)								Line	Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person				
(City) (State) (Zip)																		
			ole I - Non-Dei				_	quire										
1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Ye	Ex ar) if a	2A. Deemed Execution Date, if any (Month/Day/Year)		Code			Securities Acquir sposed Of (D) (Ins				. Amount of ecurities eneficially wned Following	6. Ownershi Form: Direc (D) or Indire (I) (Instr. 4)	t Indirect I	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
							Code	v	Amou	ınt (A	() or	Price	T	eported ransaction(s) nstr. 3 and 4)				
Common	Stock	12/29/2020	12/29/2020			M <sup>(1)</sup>		10,	000	A	\$4.7	73	156,606	D	D			
Common	Stock	12/29/2020	12/29/2020			S <sup>(1)</sup>		10,	000	D	\$60.03	64(2)	146,606	D				
Common	Stock	12/29/2020	9/2020		М			8,0	. 000	A	\$1.6		154,606	D				
Common	Stock												13,518	I	I Buchanan Grandchildre Irrevocable Trust <sup>(3)</sup>			
			Table II - Deriv							oosed o				Owned		·		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)	action	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/Y		isable and		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form:	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)		Date Exercis	sable	Expiratio Date		itle -	Amount or Number of Shares					
Option (right to buy)	\$4.73	12/29/2020		M <sup>(1)</sup>			10,000	09/01/2	2017 <sup>(4)</sup>	11/30/202	27	Common Stock	10,000	\$0	32,050	D		
Option (right to buy)	\$1.6	12/29/2020		M			8,000	12/03/2	2015 <sup>(5)</sup>	12/03/202	25	Common Stock	8,000	\$0	157,409	D		

### **Explanation of Responses:**

- 1. The option exercise and sale reported on this Form 4 were effected pursuant to Rule 10b5-1 Trading Plan adopted by the reporting person on September 11, 2020.
- 2. This transaction was executed in multiple trades at price ranging from \$59.50 to \$60.95. The price reported above reflects the weighted average sale price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- 3. These shares are held directly by the Buchanan Grandchildren's Irrevocable Trust, for which the Reporting Person serves as a co-trustee.
- 4. One forty-eighth of the shares subject to the option shall vest on September 1, 2017 and each month thereafter, subject to the Reporting Person continuing as a service provider through each such date.
- 5. All of the shares subject to this option are fully vested and exercisable as of the date hereof.

## Remarks:

/s/Mhairi Jones, by power of attorney \*\* Signature of Reporting Person

12/30/2020

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.