SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

I

н								
	OMB Number:	3235-0287						
l	Estimated average burden							
l	hours per response:	0.5						

Instruction 1(b).	lue. See	Filed	pursuant to Section 16(a) of the Securities Exchange Act of 1934	hours per response: 0.5				
			or Section 30(h) of the Investment Company Act of 1940					
1. Name and Address of Buchanan Lucas	1 0		2. Issuer Name and Ticker or Trading Symbol Silk Road Medical Inc [SILK]	5. Relationship of (Check all applicat Director X Officer (g	ole)	10% Owner Other (specify		
	(First) (Middle) AD MEDICAL, INC. UCK DRIVE		3. Date of Earliest Transaction (Month/Day/Year) 10/01/2021	below)	COO/CFO	below)		
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joi Line)	6. Individual or Joint/Group Filing (Check Applicable			
(Street) SUNNYVALE CA	A 9408	9		X Form filed	d by One Report d by More than (•		
(City) (Sta	ate) (Zip)			Person				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)				5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)				
Common Stock	10/01/2021		F		2,658(1)	D	\$55.24(2)	179,997	D			
Common Stock								13,518	Ι	Buchanan Grandchildren's Irrevocable Trust ⁽³⁾		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)	(Instr.	n of		Expiration Da (Month/Day/Y	6. Date Exercisable and Expiration Date (Month/Day/Year)		e and int of ities rlying ative ity (Instr. 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents shares that have been sold by the Issuer to satisfy income tax withholding and remittance obligations in connection with the vesting and net settlement of restricted stock units previously reported.

2. This transaction was executed in multiple trades at price ranging from \$54.78 to \$55.56. The price reported above reflects the weighted average sale price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

3. These shares are held directly by the Buchanan Grandchildren's Irrevocable Trust, for which the Reporting Person serves as a co-trustee.

Remarks:

<u>/s/Mhairi Jones, by power of</u>	
<u>attorney</u>	

** Signature of Reporting Person Date

10/05/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.