FORM 3

### **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

# INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104

Estimated average burden
hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Davis Andrew S.	2. Date of Event Requiring Statement (Month/Day/Year) 04/03/2019		3. Issuer Name and Ticker or Trading Symbol Silk Road Medical Inc [ SILK ]					
(Last) (First) (Middle) C/O SILK ROAD MEDICAL, INC.			Relationship of Reporting Perso (Check all applicable)     Director	10% Owner		5. If Amendment, Date of Original Filed (Month/Day/Year)		
1213 INNSBRUCK DRIVE			X Officer (give title below)	Other (spe below)	, 10	applicable Line)	/Group Filing (Check	
(Street) SUNNYVALE CA 94089			EVP Global Sales &	Marketing			y One Reporting Person y More than One erson	
(City) (State) (Zip)								
	Table I - Noi	n-Derivati	ve Securities Beneficiall	y Owned				
1. Title of Security (Instr. 4)			. Amount of Securities eneficially Owned (Instr. 4)			lature of Indirect Beneficial Ownership str. 5)		
				(				
(e			e Securities Beneficially onto	Owned	s)			
(e		lls, warra		Owned securities	4. Conversion	se Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	2. Date Exerc	lls, warra	nts, options, convertible  3. Title and Amount of Securit	Owned securities	4. Conversi	on Ownership se Form: Direct (D)	Beneficial Ownership	
	2. Date Exerc Expiration Da (Month/Day/	ils, warra	nts, options, convertible  3. Title and Amount of Securit Underlying Derivative Security	Owned securities ies y (Instr. 4)  Amount or Number of	4. Convers or Exerc Price of Derivativ	on Ownership Form: Direct (D) or Indirect	Beneficial Ownership	
1. Title of Derivative Security (Instr. 4)	2. Date Exerc Expiration Da (Month/Day/)	ils, warra	nts, options, convertible  3. Title and Amount of Securit Underlying Derivative Security  Title	Owned Securities ies y (Instr. 4)  Amount or Number of Shares	4. Conversion Exerciprice of Derivative Security	on Ownership se Direct (D) or Indirect (I) (Instr. 5)	Beneficial Ownership	
Title of Derivative Security (Instr. 4)  Series C Preferred Stock	2. Date Exerc Expiration Da (Month/Day/N	cisable and ate (Year)  Expiration Date	nts, options, convertible  3. Title and Amount of Securit Underlying Derivative Security  Title  Common Stock	Owned securities ies y (Instr. 4)  Amount or Number of Shares 12,290	4. Conversion Exercipation Price of Derivative Security	on See Ownership Form: Direct (D) Or Indirect (I) (Instr. 5)	Beneficial Ownership	
1. Title of Derivative Security (Instr. 4)  Series C Preferred Stock  Employee Stock Option (Right to buy)	2. Date Exerc Expiration Da (Month/Day/N	cisable and ate (Year)  Expiration Date  (1)  06/23/2025	nts, options, convertible  3. Title and Amount of Securit Underlying Derivative Security  Title  Common Stock  Common Stock	Owned securities ies y (Instr. 4)  Amount or Number of Shares  12,290  138,893	4. Conversion or Exerciprice of Derivativ Security	Ownership Form: Direct (D) or Indirect (I) (Instr. 5)  D	Beneficial Ownership	
1. Title of Derivative Security (Instr. 4)  Series C Preferred Stock  Employee Stock Option (Right to buy)  Employee Stock Option (Right to buy)	2. Date Exerc Expiration Da (Month/Day/)  Date Exercisable  (1) (2) (3)	Expiration Date  (1)  06/23/2025	nts, options, convertible  3. Title and Amount of Securit Underlying Derivative Security  Title  Common Stock  Common Stock  Common Stock	Owned securities ies y (Instr. 4)  Amount or Number of Shares 12,290 138,893 45,780	4. Conversion Exerciprice of Derivative Security	Ownership Form: Direct (D) or Indirect (I) (Instr. 5)  D  D	Beneficial Ownership	

## Explanation of Responses:

- 1. The Series C Preferred Stock shall automatically convert into Common Stock on a 1:1 basis immediately prior to the completion of the Issuer's initial public offering of Common Stock and has no expiration date.
- 2. One-fourth of the shares subject to the option vested on May 5, 2016 and one forty-eighth of the shares subject to the option vest each month thereafter, subject to the Reporting Person continuing as a service provider through each such date.
- 3. One forty-eighth of the shares subject to the option vested on January 3, 2016 and one forty-eighth of the shares subject to the option vest each month thereafter, subject to the Reporting Person continuing as a service provider through each such date.
- 4. One forty-eighth of the shares subject to the option vested on September 1, 2017 and one forty-eighth of the shares subject to the option vest each month thereafter, subject to the Reporting Person continuing as a service provider through each such date.
- 5. All of the shares subject to the option shall vest upon the earlier of a change in control of the Issuer or the two year anniversary of the initial public offering of the Issuer's Common Stock, subject to the Reporting Person continuing as a service provider through such date.
- 6. One-fourth of the shares subject to the option shall vest on August 1, 2019 and one forty-eighth of the shares subject to the option vest each month thereafter, subject to the Reporting Person continuing as a service provider through each such date.

#### Remarks:

Exhibit 24 - Power of Attorney

/s/ Mhairi Jones, by power of attorney

\*\* Signature of Reporting Person

04/03/2019

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

#### POWER OF ATTORNEY

The undersigned, as a Section 16 reporting person of Silk Road Medical, Inc. (the "Company"), hereby constitutes and appoints Erica J. Rogers, Lucas W. Buchanan, Mhairi Jones and Nga T. Van, and each of them, the undersigned's true and lawful attorney-in-fact, to:

- 1. Prepare, execute in the undersigned's name and on the undersigned's behalf, and submit to the Securities and Exchange Commission (the "SEC") a Form ID, including amendments thereto, and any other documents necessary or appropriate to obtain EDGAR codes and passwords enabling the undersigned to make electronic filings with the SEC of reports required by Section 16(a) of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), or any rule or regulation of the SEC;
- 2. Complete and execute Forms 3, 4 and 5 and other forms and all amendments thereto as such attorney-in-fact shall in his or her discretion determine to be required or advisable pursuant to Section 16 of the Exchange Act and the rules and regulations promulgated thereunder, or any successor laws and regulations, as a consequence of the undersigned's ownership, acquisition or disposition of securities of the Company; and
- 3. Do all acts necessary in order to file such forms with the SEC, any securities exchange or national association, the Company and such other person or agency as the attorney-in-fact shall deem appropriate.

The undersigned hereby ratifies and confirms all that said attorneys-in-fact and agent shall do or cause to be done by virtue hereof. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Exchange Act.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the Company and the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 17 day of March, 2019.

Signature: <u>/s/ Andrew S. Davis</u>

Print Name: Andrew S. Davis