FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549
-------------	------	-------

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

	OMB APPROVAL		
- 1			
	OMB Number:	3235-0287	
	Estimated average burden		
	hours per response:	0.5	

Instruction 1(b) Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person\* (Check all applicable) Silk Road Medical Inc [ SILK ] Buchanan Lucas W. Director 10% Owner Officer (give title Other (specify below) 3. Date of Earliest Transaction (Month/Day/Year) (Last) (First) (Middle) COO/CFO 10/27/2021 C/O SILK ROAD MEDICAL, INC. 1213 INNSBRUCK DRIVE 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable (Street) Form filed by One Reporting Person **SUNNYVALE** 94089 CA Form filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 6. Ownership 1. Title of Security (Instr. 3) 2. Transaction 2A. Deemed 5. Amount of 7. Nature of Execution Date Form: Direct (D) or Indirect ndirect Beneficial Securities Beneficially Date (Month/Day/Year) Ownership (Instr. if any Code (Instr (Month/Day/Year) 8) Owned Following (I) (Instr. 4) Transaction(s) (Instr. 3 and 4) (A) or (D) Price v Code Amount Common Stock 10/27/2021 **M**<sup>(1)</sup> 5,811 A \$12.15 185,808 D S<sup>(1)</sup> \$59.3886(2) 10/27/2021 5,811 179,997 D Common Stock D  $M^{(1)}$ Common Stock 10/27/2021 4,189 A \$12.15 184,186 D S<sup>(1)</sup> Common Stock 10/27/2021 \$59.3886(2) 179,997 4.189 D D Buchanan Grandchildren's Common Stock 13,518 Ī Irrevocable Trust(3) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 3. Transaction Date (Month/Day/Year) 1. Title of Derivative 3A. Deemed Execution Date 6. Date Exercisable and Expiration Date 7. Title and Amount of 8. Price of Derivative 9. Number of derivative 11. Nature of Indirect 5. Number 10 Ownership Derivative (Month/Day/Year) Securities Security (Instr. 3) or Exercise Price of if any Code (Instr. Security (Instr. 5) Securities Form: Beneficial Underlying Derivative Security (Instr. 3 and 4) Direct (D) (Month/Day/Year) 8) Securities Beneficially Ownership Owned Following (Instr. 4) Security (A) or Disposed (I) (Instr. 4) Reported Transaction(s) of (D) (Instr. 3, 4 (Instr. 4) and 5) Amount Numbe Date Exercisable of Shares

## **Explanation of Responses:**

\$12.15

\$12.15

1. The option exercise and sale reported on this Form 4 were effected pursuant to Rule 10b5-1 Trading Plan adopted by the reporting person on September 11, 2020.

(A) (D)

5.811

4.189

2. This transaction was executed in multiple trades at price ranging from \$58.26 to \$60.32. The price reported above reflects the weighted average sale price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

04/04/2021(4)

04/04/2021(4)

3. These shares are held directly by the Buchanan Grandchildren's Irrevocable Trust, for which the Reporting Person serves as a co-trustee.

Code

M<sup>(1)</sup>

 $M^{(1)}$ 

4. All of the shares subject to this option are fully vested and exercisable as of the date hereof.

10/27/2021

10/27/2021

## Remarks:

Option

(right to

(right to

buy) Option

buy)

/s/Mhairi Jones, by power of attorney

Title

Commo

Stock

Stock

5.811

4,189

\$0

\$0

Date

11/30/2027

11/30/2027

10/29/2021

4 189

0

D

D

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.