# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# FORM 8-K

## **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of report (Date of earliest event reported): January 13, 2021

# SILK ROAD MEDICAL, INC.

(Exact name of Registrant as specified in its charter)

**Delaware** (State or other jurisdiction of incorporation or organization)

☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2) of this chapter

or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.  $\square$ 

following provisions:

Emerging growth company  $\square$ 

001-38847 (Commission File Number) 20-8777622 (I.R.S. Employer Identification Number)

1213 Innsbruck Drive Sunnyvale, California 94089 (Address of principal executive office) (Zip Code)

(408) 720-9002

(Registrant's telephone number, including area code)

N/A

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the

☐ Soliciting material pursuant to Rule 14a-12 under the Exchan	nge Act (17 CFR 240.14a-12)	
☐ Pre-commencement communications pursuant to Rule 14d-2(	(b) under the Exchange Act (17 CFI	R 240.14d-2(b))
☐ Pre-commencement communications pursuant to Rule 13e-4(	(c) under the Exchange Act (17 CFF	R 240.13e-4(c))
Securities registered pursuant to Section 12(b) of the Act:		
Title of each class	Trading Symbol	Name of each exchange on which registered
Common Stock, Par Value \$0.001 Per Share	SILK	The NASDAQ Global Select Market

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new

# Item 7.01. Regulation FD Disclosure. We are voluntarily recalling 288 units of our ENROUTE® Transcarotid Stent System, or ENROUTE SDS, based on five reports we received related to the tip of the stent delivery system becoming detached in five TCAR procedures. These reports were made between September 2020 and January 2021 and we have commenced an investigation into this matter.

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

# SILK ROAD MEDICAL, INC.

Date: January 13, 2021 By: /s/ Erica J. Rogers

Erica J. Rogers

Chief Executive Officer